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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/925,063	08/08/2001	Scott C. Barnes	17644-66	2615

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SANTA MONICA, CA 90404

EXAMINER
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JOYNES, ROBERT M

ART UNIT	PAPER NUMBER
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1615

DATE MAILED: 08/26/2003

12

Please find below and/or attached an Office communication concerning this application or proceeding.

**Office Action Summary**

Application No.

09/925,063

Applicant(s)

BARNES ET AL.

Examiner

Robert M. Joynes

Art Unit

1615

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 01 July 2003.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-43 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-43 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- 11) ☐ The proposed drawing correction filed on \_\_\_\_\_ is: a) ☐ approved b) ☐ disapproved by the Examiner.
- If approved, corrected drawings are required in reply to this Office action.
- 12) ☐ The oath or declaration is objected to by the Examiner.

**Priority under 35 U.S.C. §§ 119 and 120**

- 13) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.
- 14) ☒ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).
- a) ☐ The translation of the foreign language provisional application has been received.
- 15) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

**Attachment(s)**

- 1) ☐ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449) Paper No(s) \_\_\_\_\_.
- 4) ☐ Interview Summary (PTO-413) Paper No(s). \_\_\_\_\_.
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: \_\_\_\_\_.

### DETAILED ACTION

Receipt is acknowledged of applicants' Amendment filed on July 1, 2003.

#### ***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

The factual inquiries set forth in *Graham v. John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103(a) are summarized as follows:

1. Determining the scope and contents of the prior art.
2. Ascertaining the differences between the prior art and the claims at issue.
3. Resolving the level of ordinary skill in the pertinent art.
4. Considering objective evidence present in the application indicating obviousness or nonobviousness.

Claims 1-5, 9-28, 32-40, 42 and 43 are rejected under 35 U.S.C. 103(a) as being unpatentable over Cilento et al. in combination with Sablotsky et al. (US 4994278).

Cilento teaches an adhesive dressing for use on human skin (Col. 4, lines 8-23). The adhesive layer of the dressing comprises a polyisobutylene component (Col. 4, lines 24-34), styrene copolymers (Col. 4, lines 35-51), water-soluble agents such as hydrocolloid gums (Col. 4, line 52 – Col. 5, line 13) as well as mineral oil, tackifiers and antioxidants (Col. 5, lines 14-37). The dressing further comprises a backing film (Col. 5, line 52 – Col. 6, line 7). An active agent is incorporated into the adhesive layer (Col. 6, lines 8-41). The layers can be formed through calendaring (Col. 7, lines 10-38). The adhesive

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layer is from about 20 to about 100 millimeters (Col. 5, lines 38-42) and the polymeric backing layer is from about 1 to 5 millimeters (Col. 6, lines 3-5).

Cilento does not expressly teach that the backing layer comprises ethylene acrylic acrylate or ethylene butyl acrylate or ethylene ethyl acrylate or ethylene methyl acrylate or the specific monomer concentrations recited in Claim 1.

Sablotsky teaches that a backing layer for transdermal compositions can be ethylene methyl acrylate (Col. 7, lines 10-18) and that ethylene ethyl acrylate is a known polymers used for films (Col. 2, lines 1-51)

Neither Cilento nor Sablotsky teach the exact concentration ranges of the polymeric backing layer.

While the reference does not teach the complete concentration ranges, differences in concentration will not support the patentability of subject matter encompassed by the prior art unless there is evidence indicating such concentration is critical. Where the general conditions of a claim are disclosed in the prior art, it is not inventive to discover the optimum or workable ranges by routine experimentation. *In re Aller*, 220 F.2d 454, 105 USPQ 233, 235 (CCPA 1955).

At the time the invention was made, it would have been obvious to a person of ordinary skill in the art to use any known polymeric component(s) for the backing layer of a transdermal composition and to adjust the concentrations of the ingredient and to adjust the thickness of the individual layers. No criticality is seen in the particular monomeric concentration ranges. The polymers are known in the art as films but no unexpected results are seen from the particular monomeric concentrations.

One of ordinary skill in the art would have been motivated to do this to increase or decrease the barrier properties of the backing layer. The amount and type of active agent would dictate the additional ingredients necessary and the amounts of each ingredient. Thickness is also determined by one of ordinary skill in the art depending on the active agent used and the material used to form the layers.

Therefore, the invention as a whole would have been *prima facie* obvious to one of ordinary skill in the art at the time the invention was made.

Claims 6-8, 18, 19, 29-31 and 41 are rejected under 35 U.S.C. 103(a) as being unpatentable over Cilento et al. in combination with Sablotsky et al. in further combination with Godbey et al. (US 5372819). The teachings of Cilento and Sablotsky are discussed above. Neither Cilento nor Sablotsky teach that the polymeric backing layer further comprises additional additives.

Godbey teaches a transdermal delivery system in which the backing layer can contain polymer additives such as processing aids, pigments, and lubricants (Col. 4, lines 30-36).

While the reference does not teach the complete concentration ranges, differences in concentration will not support the patentability of subject matter encompassed by the prior art unless there is evidence indicating such concentration is critical. Where the general conditions of a claim are disclosed in the prior art, it is not inventive to discover the optimum or workable ranges by routine experimentation. *In re Aller*, 220 F.2d 454, 105 USPQ 233, 235 (CCPA 1955).

At the time the invention was made, it would have been obvious to a person of ordinary skill in the art to include additives in the polymeric backing layer of a transdermal composition.

One of ordinary skill in the art would have been motivated to do this to change the color of the composition or to change the flexibility of the backing layer.

Therefore, the invention as a whole would have been *prima facie* obvious to one of ordinary skill in the art at the time the invention was made.

### ***Response to Arguments***

Applicants' arguments filed on July 1, 2003 have been considered but are not found to be persuasive. Applicants argue that the prior art fails to teach or suggest a hydrocolloid dressing that is manufactured by calendering the adhesive layer and backing layer simultaneously to form the dressing as well as a backing layer that has the recited monomeric concentrations for the copolymer.

As states above, the Examiner fails to see the criticality in the particular monomeric concentration ranges for the ethylene-based copolymer. The prior art teaches that the polymers recited in the dependent claims are known for film forming preparations. No evidence has been shown that indicates an unexpected result from this particular concentration. Further, applicants have not stated that he secondary reference does not teach polymers that render obvious the instant claims. Therefore, the rejections over the cited prior art are maintained.

As for the method claims, it is the position of the Examiner that no criticality is seen in the reducing the number of steps in the preparation of the dressing. While the

Examiner recognizes and appreciates the new language of the claim, the end product is the same and no unexpected results are seen from calendering the adhesive directly to the backing film. Therefore, the rejections over the cited prior art are maintained.

### ***Conclusion***

Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO MONTHS** of the mailing date of this final action and the advisory action is not mailed until after the end of the **THREE-MONTH** shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than **SIX MONTHS** from the date of this final action.

### ***Correspondence***

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Robert M. Joynes whose telephone number is (703) 308-8869. The examiner can normally be reached on Mon.-Thurs. 8:30 - 6:00, alternate Fri. 8:30-5:00.

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If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Thurman K. Page can be reached on (703) 308-2927. The fax phone number for the organization where this application or proceeding is assigned is (703) 872-9306.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (703) 308-1235.

Robert M. Joynes  
Patent Examiner  
Art Unit 1615  
August 24, 2003

THURMAN K. PAGE  
SUPERVISORY PATENT EXAMINER  
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